

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Putnam et al.

Appln. No.: 09/175,156

Filing Date: Oct. 19, 1998

Title: **METHOD AND SYSTEM FOR PROVIDING CUSTOMIZED AUDIO RESPONSES TO INCOMING PHONE CALLS**

Examiner: Joseph T. Phan

Art Unit: 2614

Confirmation: 6575

CERTIFICATE OF EXPRESS MAIL, MAILING, ELECTRONIC OR FACSIMILE TRANSMISSION

The undersigned hereby certifies that this correspondence is
☐ being deposited under Express Mail Label No. _____ on the date given below; **or**
☐ being deposited under 37 CFR 1.8 with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date given below; **or**
☐ being facsimile transmitted to the U.S. Patent and Trademark Office at the fax number and date given below; **or**
☐ being electronically submitted via EFS-Web on the date given below:
 Facsimile Number: 571-273-8300
 No. of Pages: total pages
 Date of Deposit or Transmission: _____

By: _____
 Typed Name: _____

Mail Stop RCE
 COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b),
 (☐ Within three months of either the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or the date of national stage entry of international application; or ☐ before mailing date of first office action on the merits; or ☒ before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Certification under 37 CFR 1.97 (e), **OR**
☐ the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account **19-2179**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
 (After the 37 CFR 1.97 (b) time period, but before mailing date of any of a final action under 37 CFR 1.113, or a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution).
- ☐ under 37 CFR 1.97 (d) together with a:
 Certification under 37 CFR 1.97 (e), **AND**
 the \$ 180.00 fee under 37 CFR 1.17(p) authorized to be charged to Deposit Account **19-2179**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
 (After the 37 CFR 1.97 (c) time period, but ☐ on or ☐ before payment of the issue fee).
- ☐ under 37 CFR 1.97 (i)
 (Filed after time period of 37 CFR 1.97 (d) or not in compliance with 37 CFR 1.97 or 1.98 - No fee or certification required; references to be placed in file but not considered).

Applicant(s) submit herewith a listing of Information Disclosure Citations which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:

- ☒ with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) (to the extent the hard copy requirement is not waived by the patent office) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or
☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no. _____) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

37 CFR 1.97(e) Certifications:

☐ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. **OR**

☐ The undersigned certifies under **37 CFR 1.97(e)(2)** that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on the listing of Information Disclosure Citations, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☒ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

☐ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

PLEASE MAIL CORRESPONDENCE TO:

Siemens Corporation
Customer No. 28524
Attn: Elsa Keller, Legal Administrator
170 Wood Avenue South
Iselin, NJ 08830

Respectfully submitted,
/Rosa S. Kim/

Rosa S. Kim, Reg. No. 39,728
Attorney(s) for Applicant(s)
Telephone: 650-694-5330
Date: Jan. 7, 2009